

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bradbury et al.

GROUP: Unknown

SERIAL NO: 09/856,907

EXAMINER: Unknown

MAILING DATE: May 29, 2001

FOR: METHODS FOR THE PREPARATION OF NUCLEIC ACID  
AND POLYPEPTIDE LIBRARIES AND USES THEREOF

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231  
Attn: Application Division

Sir:

COMPLETION OF FILING REQUIREMENTS

In response to the Notification of Defective Response mailed on June 18, 2002, a copy of which is enclosed, we enclose herewith the paper copy of the Sequence Listing, which corresponds to the Computer Readable Form of the Sequence Listing that was previously filed on November 8, 2001.

Please note that when the application was initially filed on May 29, 2001, we submitted the paper copy of the Sequence Listing, pages 55-57a of the application, as indicated on the receipted postcard, a copy of which is enclosed. Additionally, in response to the Notification of Missing Requirements mailed on September 11, 2001, the Computer Readable Form of the same Sequence Listing, along with the required Statement, were subsequently filed on November 8, 2001, which is also evidenced by the enclosed copy of the receipted postcard.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. Box PCT.

  
Sarah Kennedy11/12/02  
Date

As the Applicants believe they have previously complied with the filing requirements in a timely manner, they do not believe the extension fees should be charged. However, the Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further extension and/or fee that is required.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read 'Arlene J. Powers', is written over a horizontal line.

Arlene J. Powers  
Registration No. 35,985  
Samuels, Gauthier & Stevens LLP  
225 Franklin Street, Suite 3300  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
Extension: 110

Mailed on May 29, 2001  
Express Mail No. EL826361860US

JC17 Rec'd PCT/PTO 29 MAY 2001

Enclosed are:

- 1) Copy of PCT Int'l. Appln. of Bradbury et al.  
#pgs: Abs./1, Spec./55, Seq. List./4, Clms./8, Dwgs./8  
METHODS FOR THE PREPARATION OF NUCLEIC ACID  
AND POLYPEPTIDE LIBRARIES AND USES THEREOF
- 2) Transmittal Letter-U.S. Nat'l. Ph. Ch. II; 3) Declaration and Power of Attorney
- 4) PTO Form 1595; 5) Assignment; 6) Preliminary Amendment; 7) Clean Copy  
of Claims; 8) Form PCT/RO/101; 9) Form PCT/IB/304; 10) Form  
PCT/IPEA/402; 11) Form PCT/IPEA/409; 12) Form PCT/ISA/210  
Information Disclosure Statement; 14) PTO Form 1449; 15) Copies of cited  
references; 16) Form PCT/IB/308; 17) Int'l. Pub. No. WO 00/31246; 18) Form  
PCT/IB/332; 19) \$2,236.00.00 Check for filing fee; 20) \$40.00 Check 2001  
assignment recordal.

09/856907

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SAMUELS, GAUTHIER  
& STEVENS LLP

AJP/sek



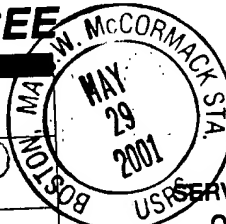
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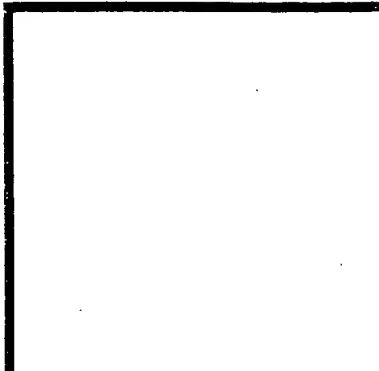
Mailed on November 8, 2001

6278

Enclosed are the following in re:  
U.S. Prov. Pat. Application of Bradbury et al  
Serial No.: 09/856,907  
Mailing Date: 05/29/01

- 1) Completion of Filing Requirements Transmittal
- 2) Copy of Notification of Missing Requirements
- 3) Computer Readable Sequence Listing Disk
- 4) Statement

This Paper Was  
Received By PTO On:



DATE DUE: November 11, 2001

AJP/sek

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bradbury et al.

GROUP: Unknown

SERIAL NO: 09/856,907

EXAMINER: Unknown

MAILING DATE: May 29, 2001

FOR: METHODS FOR THE PREPARATION OF NUCLEIC ACID  
AND POLYPEPTIDE LIBRARIES AND USES THEREOFBox Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231  
Attn: Application Division

Sir:

COMPLETION OF FILING REQUIREMENTS

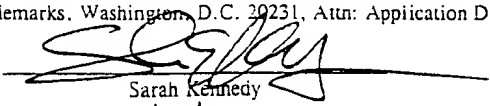
In response to the Notification of Missing Requirements mailed on September 11, 2001, a copy of which is enclosed, we enclose herewith the Computer Readable Form of the Sequence Listing which is identical to written sequence as filed with the application and a Statement.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further extension and/or fee that is required.

Respectfully submitted,

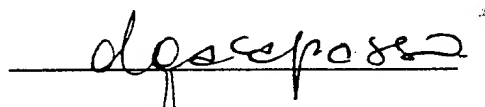
Arlene J. Powers  
Registration No. 35,985  
Samuels, Gauthier & Stevens LLP  
225 Franklin Street, Suite 3300  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
Extension: 110

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, Attn: Application Division.

  
Sarah Kennedy11/8/01  
Date

## STATEMENT

The undersigned hereby declares that the information recorded in computer readable form is identical to the written sequence listing as filed with the PCT Application no. PCT/EP99/08856.

  
(CAPASSO OLGA)

Rome, May 29, 2001



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UNITED STATES PATENT AND TRADEMARK OFFICE

09/856907

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/856,907

BRADBURY

56 SEP 17 2001

INTERNATIONAL APPLICATION NO.

ARLEN J. POWERS

225 FRANKLIN STREET SUITE 3300  
BOSTON MA 02110S. JUELS, GAUJHIEF  
& STEVEN, U.S.

PCT/EP99/08856

I.A. FILING DATE

PRIORITY DATE

11/18/99

11/19/98

DATE MAILED 09/11/01

**NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825:
- ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- ☐ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(e).
- ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ Other: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- ☐ An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- ☒ An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☐ A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE  
CALL:

(703) 308-4216, for Rules interpretation,  
(703) 308-4212, for CRF submission help,  
(703) 287-0200, for PatentIn software help.

FORM PCT/DO/EO/920 (March 2001)

Telephone:

National Stage Process  
(703) 305-3695**BEST AVAILABLE COPY**